# IAP6 Rec'd PCT/PTO 25 MAY 2007

PTO-1390 (Rev. 07-2005) Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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T		SMITTAL LETTER TO THE UNITED STATES	095309.57811US						
C C	DES	SIGNATED/ELECTED OFFICE (DO/EO/US) RNING A SUBMISSION UNDER 35 U.S.C. 371	U.S. APPLICATION NO. (If known, see 37 CFR 1.5)						
CO	NCE	KNING A SUBMISSION UNDER 35 U.S.C. 37 I	10/581,865						
INTER		NAL APPLICATION NO. INTERNATIONAL FILING		PRIORITY DATE CLAIMED 12/04/2003					
TITLE			/2004	12/04/2003					
TITLE OF INVENTION FORMING TOOL									
APPLI	CANT(S	s) FOR DO/EO/US Kai-Uwe D	UDZIAK						
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:									
1.		This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.							
2.	$\boxtimes$	This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.							
3.	$\boxtimes$	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.							
4.	$\boxtimes$	The US has been elected (Article 31).							
5.		A copy of the International Application as filed (35 U.S.C. 371)	A copy of the International Application as filed (35 U.S.C. 371(c)(2))						
		a. is attached hereto (required only if not communica	ed by the International Bur	eau).					
		b. has been communicated by the International Bureau.							
		c. Is not required, as the application was filed in the United States Receiving Office (RO/US).							
6.		An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).							
		a. is attached hereto.	r r						
		b. has been previously submitted under 35 U.S.C. 154(d)(4).							
7.	П	Amendments to the claims of the International Application unc	er PCT Article 19 (35 U.S.0	C. 371(c)(3))					
	_	a. are attached hereto (required only if not communicated by the International Bureau).							
		b. have been communicated by the International Bureau.							
		c. have not been made; however, the time limit for making such amendments has NOT expired.							
		d. have not been made and will not be made.							
8.		An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).							
9.	$\boxtimes$	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).(One (1) page)							
10.		An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).							
	Item	s 11 to 20 below concern document(s) or information inclu							
11.		An Information Disclosure Statement under 37 CFR 1.97 and 1.98.							
12.		An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.							
13.		A preliminary amendment.							
14.		An Application Data Sheet under 37 CFR 1.76.							
15.		A substitute specification.							
16.		A power of attorney and/or change of address letter.							
17.		A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.							
18.		A second copy of the published International Application under 35 U.S.C. 154(d)(4).							
19.		A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).							
20.	$\boxtimes$	Other items or information: Copy of Notification of Missing Requirements dated March 28, 2007							

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO-1390 (Rev. 02-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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U.S. APPLICATION NO. (If known, see 37 CER 15)
INTERNATIONAL APPLICATION NO.

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U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO.						ATTORNEY'S DOCKET NUMBER			
	10/581,86		PCT/EP20	004/0	12690	095309.5			
The following fees have been submitted						CALCULATIONS	PTO USE ONLY		
21. Basic national fee (37 C.F.R. §1.492(a))					\$				
22. Examination fee (37 C.F.R. §1.492(c))									
If the written opin	ion prepared	by ISA/US or the inf	ernational preliminary						
examination	report prepa	red by IPEA/US indi	cates all claims satisfy		\$0.00				
						\$			
		.F.R. §1.492(b))			\$200.00	<u> </u>			
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report prepa	red by IPEA/	US indicates all clair	ns satisfy provisions of P	CT					
Article 33(1)	-(4)				\$0				
Search fee (37 C	FR 1.445(a)(	2)) has been paid or	the international applica	tion to					
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MULTIPLE DEPI	ENDENT CLA	AIM(S) (if applicable			+ 360	\$			
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Applicant cla	ims small ent	tity status. See 37 C	FR 1.27. Fees above are	reduc	ced by 1/2.				
					SUBTOTAL =	\$ 0.00			
Processing fee o	f <b>\$130.00</b> for	furnishing the Engli	sh translation later than 3	0 mon	ths from the	s			
earliest claimed	priority date (	37 CFR 1.492(i))				_ <del></del>			
					NATIONAL FEE =	\$ 0.00	· · · · · · · · · · · · · · · · · · ·		
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c. 🛛 The C	ommissioner	is hereby authorized	to charge any additiona	l fees	which may be requ	ired, or credit any overpa	yment to Deposit		
Accou	int No. <b>05-13</b> 2	23 (Attorney Docke	t No. 095309.57811US).	A du	plicate copy of th	is sneet is enclosed.			
d. Fees a	are to be char	roed to a credit card	WARNING: Informatio	n on th	is form may beco	me public. Credit card in	formation should not		
be inc	luded on thi	s form. Provide cre	edit card information and	author	ization on PTO-20	38.			
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NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.									
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Fax N	Fax No. (202) 628-8844 <u>May 25, 2007</u>								
DATE									



### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. BOX 1450 Alexandria, Virginia 22313-1450

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO. Kai-Uwe Dudziak 095309.57811US 10/581,865 INTERNATIONAL APPLICATION NO. PCT/EP04/12690 23911 I.A. FILING DATE PRIORITY DATE **CROWELL & MORING LLP** 11/10/2004 12/04/2003 INTELLECTUAL PROPERTY GROUP 78 ( 5 F.) Y P.O. BOX 14300 WASHINGTON, DC 20044-4300 CONFIRMATION NO. 7998 **371 FORMALITIES LETTER** 30 2007 \*OC000000023074524\* Date Mailed: 03/28/2007

## NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 06/05/2006
- Copy of the International Search Report filed on 06/05/2006
- Preliminary Amendments filed on 06/05/2006
- Information Disclosure Statements filed on 06/05/2006
- Request for Immediate Examination filed on 06/05/2006
- U.S. Basic National Fees filed on 06/05/2006
- Substitute Specification filed on 06/05/2006
- Priority Documents filed on 06/05/2006
- Specification filed on 06/05/2006
- Claims filed on 06/05/2006
- Abstracts filed on 06/05/2006
- Drawings filed on 06/05/2006

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

 Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

ALL OF THE ITEMS SEPTIMENT ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OF 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER OF MALLURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and tee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web. <a href="https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html">https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html</a>

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at <a href="http://www.uspto.gov/ebc.">http://www.uspto.gov/ebc.</a>

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

### FREDERICK SMITH

Telephone: (703) 308-9140 EXT 210

### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/581,865	PCT/EP04/12690	095309.57811US

FORM PCT/DO/EO/905 (371 Formalities Notice)